

On the Efficiency of Lobachevski-Graeffe's Method
for Finding the Polynomial Zeros

by

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Let $f(x) = 0$ be a nonlinear equation. The problem of determining its zeros is a very interesting and important one, existing many methods, iterative or not, see [2] - [4], to do this.

From this point of view, a special case is occupied by those nonlinear equations in which the function f is an algebraic polynomial one, with real coefficients, which can be solved by usually used methods, but also by special ones. So, in [4] is presented the Lobachevski-Graeffe's method, which can be easily translated in an serial algorithmic form.

The aim of this paper is to derive the parallel algorithm for this method and to characterize it by speedup, respectively by the efficiency point of view.

To make it possible, we suppose that a MIMD system - an arbitrary number of processors with independent control and arbitrary large memory with unrestricted access is available (see [5]). Also, we suppose that each processor is capable to perform any of the operations $+$, $-$, \cdot , $/$. The time required for accessing data, storing results and communicating among processors is ignored.

As it is well known, one of the characteristic of a parallel method E_p is the speedup $S(E_p; n)$, i.e.

$$S(E_p; n) = \frac{CP(\bar{E}_s)}{CP(E_p; n)}$$

where \bar{E}_s is the optimal serial method with regard to the complexity in a given class of such methods (see [3]), $CP(\bar{E}_s; n)$ is the complexity of the parallel method E_p and n is the number of processors.

Using the speedup $S(E_p; n)$, a new characteristic for a parallel method is defined, the efficiency:

$$e(F_p) = \frac{S(F_p; n)}{n}$$

Obviously, for a given F_p , we have $1 < S(F_p; n) < n$, respectively $0 < e(F_p) < 1$.

As the optimal serial method F_s is not always accessible, the speedup is usually approximated by the value

$$s(F_p; n) = \frac{CP(F_s)}{CP(F_p; n)}$$

where F_s is an available serial method and F_p is a parallel version of F_s . It follows that

$$s(F_p; n) > S(F_p; n).$$

Note. Let α be an algorithm. Then

$CP(\alpha) = CPI(\alpha) + CPC(\alpha)$, where $CPI(\alpha)$ is the informational complexity of algorithm α and $CPC(\alpha)$ is the computational complexity of it. Because in this paper $CPI(\alpha) = 0$ (see [1]), by $CP(\alpha)$ we understand only the $CPC(\alpha)$.

Let f be an algebraic polynomial function of degree n with real simple zeros x_1, x_2, \dots, x_n , i.e.,

$$f(x) = a_0 x^n + a_1 x^{n-1} + \dots + a_n \quad (1)$$

All zeros are considered to be different, because if it is not so, by dividing $f(x)$ with the least common multiple of $f(x)$ and $f'(x)$ (determined with the Euclid's algorithm), the assuming is accomplish.

Note. In our next considerations, we assume that $|x_i| \neq |x_j|$, $i \neq j$, it means that we don't refer to the cases $x_i = -x_j$ and $x_i = a + ib$, $x_j = a - ib$, for $i \neq j$.

First case. The zeros satisfy the condition

$$|x_1| \gg |x_2| \gg |x_3| \gg \dots \gg |x_n| \quad (2)$$

where " \gg " means "very much greater than". It follows that the zeros are well separated.

Relation (2) allows us to write:

$$x_k = \xi_1 x_{k-1} + \xi_2 x_{k-2} + \dots + \xi_n x_{k-n} \quad (13)$$

where $\xi_i (i=1, \dots, n)$, ξ_i less than unit.

Using the Viète's relations between zeros and coefficients and (13), it is obtain

$$\begin{aligned} x_1(1 + \xi_1) &= -\frac{b_1}{b_0} \\ x_1 x_2(1 + \xi_2) &= -\frac{b_2}{b_0} \\ \dots \dots \dots \\ x_1 x_2 \dots x_n(1 + \xi_n) &= (-1)^n \frac{b_n}{b_0} \end{aligned}$$

where from, neglecting $(\xi_1, \xi_2, \dots, \xi_n)$ which are very small,

$$x_1 \approx -\frac{b_1}{b_0}, \quad x_1 x_2 \approx -\frac{b_2}{b_0}, \quad \dots, \quad x_1 x_2 \dots x_n \approx (-1)^n \frac{b_n}{b_0},$$

so the zeros x_1, x_2, \dots, x_n can be obtained by solving the following system

$$\begin{cases} b_0 x_1 + b_1 = 0 \\ b_0^2 x_2 + b_2 = 0 \\ \dots \dots \dots \\ b_0^n x_n + b_n = 0 \end{cases} \quad (14)$$

The second case, if the zeros x_1, \dots, x_n does not satisfies (2), they can't be computed by the previous method. But there is a possibility to replace the equation (1) by a modified one, whose y_1, \dots, y_n zeros are, respectively, equal to x_1^k, \dots, x_n^k . Obviously, if $k \gg 2$, (2) is accomplish for the new equation.

This one, which is called "the transformed", is obtained i.e.

$$h(y) = g(x), \quad g(x) = 0$$

with $y = x^k$ and with zeros $y_i = x_i^k$ ($i=1, \dots, n$).

It is easy to prove that the coefficients c_i of polynomial function h are computed by means of the relations:

$$c_i = b_i^k + \sum_{l=1}^k (-1)^l b_{i+l} \cdot b_{i-l} \quad , \quad i=0, \dots, n \quad (15)$$

(where $b_{i+l} = 0$ if $i+l < 0$ or $i+l > n-i$).

Applying repetitively (of j times) this method, the following equation is obtained:

$$p(z) = d_0 z^n + d_1 z^{n-1} + \dots + d_n \quad (6)$$

whose zeros are the numbers $z_i = -x_i^{-1}$. Constructing for polynomial (6) a system of form (4), z_1, \dots, z_n are to be found and then x_1, \dots, x_n .

Considering a serial algorithm for this method (the second case, which is more generally), we can compute its complexity. So, we count all the arithmetic operations which are involved.

To compute (5), we make, firstly, $(-1)^n$, which requires $(n-1)$ multiplications, the powers $(-1)^l$, $l=0, \dots, n$ being stored.

Counting all the additions (suppose $CP(+)=CP(-)$) and multiplications in (5), we obtain $n(n+3)$ multiplications and $n(n+1)/2$ additions.

Further, the system (4) needs n divisions (the unary operation $(-)$ being not taken into account). So, if F_s denotes this serial algorithm, then we can write

$$CP(F_s) = \frac{n(n+1)}{2} CP(+) + n(n+3) CP(+1) + n CP(/) \quad (7)$$

At the Felix C-256 computer, for instance, where $CP(+)=CP(-)=8.10 \mu$, $CP(+1)=24.40 \mu$, $CP(/)=27.30 \mu$

$$CP(F_s) = n(28.45n + 104.55) \mu \quad (8)$$

Now, we consider that the method is executed on a MIMD system, so we have an arbitrary number of processors (say $n+1$) with independent control and arbitrary large memory with unrestricted access. Hence, each processor can perform the arithmetic operations, independently or connected by the others.

To compute (5), we consider that each of the $n+1$ processors computes one of the coefficients c_i , $i=0, \dots, n$. Obviously, the processing time will be different for each c_i , the longest one being that for the last coefficient. Like in the serial algorithm, we assume that a single processor computes $(-1)^n$ by means of $(n-1)$ multiplications. So, the operations involved in parallel computation of (5) are n additions and $3n$ multiplications. Further, if n processors compute, simultaneously, the system (4), an equation each, the computation will be ended in a time equal with that necessary for a single division. So, if F_p denotes the parallel algorithm corresponding to the method just presented,

$$CP(F_p) = n CP(+) + 3n CP(+1) + CP(/) \quad (9)$$

which, at Felix C-256 means:

$$CP(F_p) = (81.30 n + 27.30)\mu \quad (10)$$

To measure the performance of the parallel algorithm, we can use the theoretical indices presented at the beginning of this paper. So, taking into account also (7) and (9),

$$s(F_p; n+1) = \frac{(n(n+1)/2) CP(+) + n(n+1) CP(*) + n CP(/)}{n CP(+) + 3n CP(*) + CP(/)} \approx n \quad (11)$$

and

$$e(F_p) = \frac{s(F_p; n+1)}{n+1} \approx 1 \quad (12)$$

At Felix C-256,

$$s(F_p; n+1) = \frac{n(28.45 n + 104.55)}{81.30 n + 27.30}$$

and

$$e(F_p) = \frac{n(28.45 n + 104.55)}{(n+1)(81.30 n + 27.30)}$$

From (11) and (12) it is easy to observe that the time involved in performing the parallel method is almost n times less than that necessary for the serial method. If, instead of F , we'll try to find the best serial algorithm which solve our problem, the efficiency of corresponding parallel algorithm will be nearest the maximum value, i.e. the unit.

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