

## **Dynamical localization conditions for dc-trigonal electric fields proceeding beyond the nearest neighbor description**

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**ABSTRACT.** Dynamic localization conditions proceeding beyond the nearest-neighbor description are derived by applying the quasi-energy description, in the case of the dc-trigonal electric field like  $E_0 + E_1(t)$ , for which  $\omega_B = P\omega/Q$ , where  $\omega_B = eaE_0/\hbar$  and  $\omega = 2\pi/T$  stand for, respectively, the Bloch and ac field frequencies, while  $P$  and  $Q$  are mutually prime integers. Concrete manifestations of dynamical localization have been presented for particular cases.

### 1. INTRODUCTION

In the last past decade the quantum-mechanical description of a charged particle, say electrons, moving on one-dimensional (1D) lattices under the influence of periodic time dependent electric fields has attracted attention [1 – 2]. It was proved there is a periodic return of the electron to the initially occupied site when the ratio of the field magnitude to its frequency is a root of the ordinary Bessel function of order zero [3]. These behaviors serve as a signature to the onset of the dynamic localization effects. Such results are able to be reproduced by resorting to the quasi-energy description, too. In this latter case, the dynamic localization conditions rely on the so called collapse points of the quasi-energy bands, as discussed before [4]. The dynamic localization properties of electrons on the 1D lattice under the influence of dc-trigonal electric field like [12]

$$(1.1) \quad E(t) = E_0 + E_1(t) = E_0 + \begin{cases} -E_1(1 + \frac{4}{T}t), & -\frac{T}{2} \leq t < 0 \\ -E_1(1 - \frac{4}{T}t), & 0 \leq t \leq \frac{T}{2} \end{cases}$$

are of a special interest for several applications in quantum electronics, with a special emphasis on semiconductor supper-lattices. We shall discuss further details concerning localization attributes characterizing such fields, now by proceeding beyond the nearest neighbor description. For this purpose a general energy dispersion law like

$$(1.2) \quad E_d(k) = \sum_{n=0}^{\infty} R_n \cos(nka)$$

will be used. Here  $k$  stands for the wave number,  $a$  denotes the lattice spacing characterizing the one-dimensional 1D lattice, while  $R_n$  are pertinent expansion

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coefficients. Concrete manifestations of dynamic localization conditions will then be established by resorting to the collapse points characterizing general quasi-energy formulae established before [5]. To this aim a commensurability condition such as given by:

$$(1.3) \quad \frac{\omega_0}{\omega} = \frac{P}{Q}$$

where  $P$  and  $Q$  are mutually prime integers will be accounted for. Note that  $\omega_0 = eE_0a/\hbar$  stands for the Bloch-frequency [7], while  $\omega = 2\pi/T$ . There are reasons to say that dynamic localization conditions established before [12] have to be updated by accounting for (1.3). Similar results for dynamical localization conditions have been established by applying the quasi-energy description in the case of dc-ac electric field [13].

## 2. PRELIMINARIES AND NOTATIONS

Considering the fact that the Hamiltonian of this system incorporates a sequence of successive next to nearest neighbors (NNN) hopping effects, the discrete time-dependent Schrödinger equation is:

$$(2.4) \quad \mathcal{H}_d(n \geq 0)\psi_m = \sum_{n=0}^{\infty} V_n(\psi_{m+n} + \psi_{m-n}) - meaF(t)\psi_m = i\frac{d}{dt}\psi_m(t)$$

where  $-e < 0$  is the electric charge of the electron. This proceeds via  $R_n = 2\hbar V_m$  as well as by virtue of the rule

$$(2.5) \quad k \rightarrow \frac{P_{op}}{\hbar} = -i\frac{\partial}{\partial x}$$

which also means that the momentum operator  $P_{op}$  is responsible for the related sequence of translations. Accordingly, the field free Hamiltonian implemented by (1.1) proceeds as

$$(2.6) \quad \mathcal{H}_d^{(0)}\psi(x) = E_d \left( -i\frac{d}{dx} \right) \psi(x)$$

which produces the hopping terms characterizing (2.4) in terms of the discretization  $\psi_m = \psi(ma)$ . It is clear that usual nearest neighbor (NN) equation gets reproduced as soon as  $V_n = 0$  for  $n \geq 2$ . In addition, the  $n = 0$ -term in (2.4) can be incorporated in a pure phase factor:

$$(2.7) \quad \psi_m(t) = e^{-i2V_0t} c_m(t)$$

so that

$$(2.8) \quad \mathcal{H}_d(n \geq 1)c_m(t) = i\frac{d}{dt}c_m(t)$$

where

$$(2.9) \quad \mathcal{H}_d(n \geq 1) = \mathcal{H}_d^{(0)}(n \geq 1) - meaE(t).$$

Resorting to a orthonormalized Wannier basis, say  $\langle m|m' \rangle = \delta_{m,m'}$ , we have to realize that the Fourier-transform (1.2) relies on the matrix element of the underlying free-field Hamiltonian as follows [5, 9]

$$(2.10) \quad \langle 0|H_0|m \rangle = \frac{1}{2\pi} \int_{-\pi}^{\pi} E_d(\tilde{k}) \exp(-i\tilde{k}m) d\tilde{k} = 0$$

where by now  $\tilde{k}$  stands for  $ka$ . We have restricted ourselves to the first Brillouin zone  $\tilde{k} \in [-\pi, \pi]$  as usual.

### 3. DERIVING QUASI-ENERGY FORMULAE

The Hamiltonian characterizing (2.4) is periodic in time with period  $T$ . This opens the way to apply the Floquet factorization:

$$(3.11) \quad C_m(t) = \exp(-iEt)u_m(t)$$

where  $u_m(t+T) = u_m(t)$  such as discussed in some more detail before [5, 6]. In order to handle the commensurability condition (1.3), one resorts to an extra wave-number discretization like

$$(3.12) \quad \tilde{k} = s + 2\pi \frac{l}{Q}$$

where  $s \in [-\pi/Q, \pi/Q]$  and  $l = 0, 1, 2, \dots, Q-1$ . This later equation also shows that the  $Q$ -denominator is responsible for the number of quasi-energy bands. The quasi-energy is then given by [5]

$$(3.13) \quad \varepsilon_{n_1}(s) = \frac{1}{T} \sum_j \langle 0|H_0|Qj \rangle \exp(iQjs) \int_0^T dt \exp(iQj\theta(t)) + \frac{\omega n_1}{Q}$$

where  $j$  and  $n_1$  are integers. The external electric field (1.1) can be represented in Fourier series [12]

$$(3.14) \quad E(t) = E_0 + \sum_{l=0}^{\infty} \frac{8E_1}{\pi^2(2l+1)^2} \cos \frac{2(2l+1)\pi}{T} t$$

where  $l$  are integers such that

$$(3.15) \quad \theta(t) = ea \int_0^t E(t') dt' = \omega_B t + \sum_{l=0}^{\infty} \frac{4eaE_1 T}{\pi^3(2l+1)^3} \sin \frac{2(2l+1)\pi}{T} t.$$

Using intermediary relationships like

$$(3.16) \quad \exp(iz \sin \omega t) = \sum_{m=-\infty}^{\infty} J_m(z) \exp(im\omega t)$$

and

$$(3.17) \quad \int_{-\pi}^{\pi} d\tilde{k} \cos(j\tilde{k}) \cos(n\tilde{k}) = \pi \delta_{j,n}$$

we can deduce a reasonable "center" of the quasi-energy band for  $s = 0$ :

$$(3.18) \quad \varepsilon_0(0) = \sum_j \langle 0 | H_0 | Qj \rangle \sum_{n_1, n_2, \dots / Pj + \sum_{l=0}^{\infty} n_l(2l+1)=0} J_{n_0}(Qj\beta_0) J_{n_1}(Qj\beta_1) \dots J_{n_l}(Qj\beta_l) \dots$$

This "center" of the quasi-energy band can be written down just by inserting  $s = 0$  instead of  $s \in [-\pi/Q, \pi/Q]$ .

This amounts to consider selected sequence  $\tilde{k}/2\pi = 0, 1, \dots, Q - 1$  instead of  $\tilde{k}/2\pi \in [0, 1)$ . At this stage, we have to establish, for the moment, the collapse points of the quasi-energy band in terms of parameter values for which

$$(3.19) \quad \varepsilon_0(s = 0; \omega_B/\omega, eaE_1/\omega) = 0.$$

Equivalently, the dynamical localization condition is given by

$$(3.20) \quad \varepsilon_0(0) = \sum_j R_{Qj} \sum_{n_1, n_2, \dots / Pj + \sum_{l=0}^{\infty} n_l(2l+1)=0} J_{n_0}(Qj\beta_0) J_{n_1}(Qj\beta_1) \dots J_{n_l}(Qj\beta_l) \dots = 0$$

where  $\beta_l = \frac{4eaE_1T}{\pi^3(2l+1)^3}$  and  $j$  is a positive integer.

#### 4. CONCRETE REALIZATION OF THE DYNAMIC LOCALIZATION CONDITION

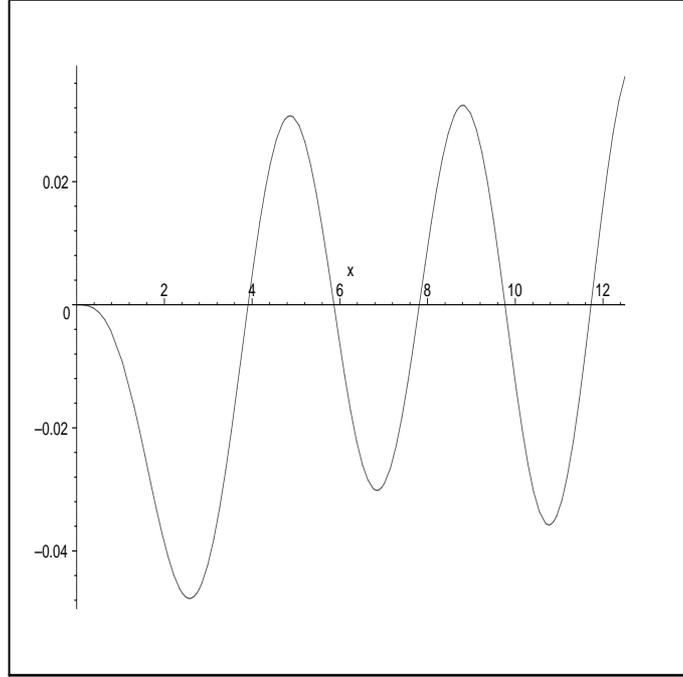
Considering fixed value of  $P, Q$  characterizing (1.3) and assuming that  $R_Q \neq 0$ , but  $R_{2Q} = R_{3Q} = \dots = 0$ , then the dynamic localization condition is given by

$$(4.21) \quad F_1 \equiv R_Q \sum_{n_1, n_2, \dots / P + \sum_{l=0}^{\infty} n_l(2l+1)=0} J_{n_0}(Q\beta_0) J_{n_1}(Q\beta_1) \dots J_{n_l}(Q\beta_l) \dots = 0$$

The relation (4.21) generalizes the case when  $\omega_B/\omega$  is integer ( $Q = 1$ ) [12]. Because the expression of  $F_1$  contains the times of large numbers of the Bessel functions, it is hard to be get collapse points of quasi-energy band. In fact, when  $l$  increases, then  $Q\beta_l$  decreases rapidly, so we only need to calculate the times of the first small numbers of Bessel functions. For particular case when  $P = 1, Q = 2$  and  $x = eaE_1/\omega$  we get the collapse points of quasi-energy band from relation

$$(4.22) \quad F_1 \equiv \sum_{n_1, n_2, \dots / 1 + \sum_{l=0}^{\infty} n_l(2l+1)=0} J_{n_0}\left(\frac{16x}{\pi^2 1^3}\right) J_{n_1}\left(\frac{16x}{\pi^2 3^3}\right) \dots J_{n_l}\left(\frac{16x}{\pi^2 (2l+1)^3}\right) \dots = 0$$

The graphical representation of  $F_1$  presents the collapse points for this particular case, as follows from figure 1.


 Fig.1 The  $eaE_1/\omega$ -dependence of  $F_1$ 

Proceeding one step further we consider that case when  $R_Q \neq 0$ ,  $R_{2Q} \neq 0$  but  $R_{3Q} = R_{4Q} = \dots = 0$ . This time (3.20) yields the dynamic localization conditions like:

$$(4.23) \quad F_2 \equiv R_Q \sum_{n_1, n_2, \dots / P + \sum_{l=0}^{\infty} n_l(2l+1)=0} J_{n_0}(Q\beta_0) J_{n_1}(Q\beta_1) \dots J_{n_l}(Q\beta_l) \dots \\ + R_{2Q} \sum_{n_1, n_2, \dots / 2P + \sum_{l=0}^{\infty} n_l(2l+1)=0} J_{n_0}(2Q\beta_0) J_{n_1}(2Q\beta_1) \dots J_{n_l}(2Q\beta_l) \dots = 0.$$

The case when  $P = 1$ ,  $Q = 2$ ,  $R_Q = 1$  and  $R_{2Q} = 2$  yields the dynamic localization conditions like:

$$(4.24) \quad F_2 \equiv \sum_{n_1, n_2, \dots / 1 + \sum_{l=0}^{\infty} n_l(2l+1)=0} J_{n_0}\left(\frac{16x}{\pi^2 1^3}\right) J_{n_1}\left(\frac{16x}{\pi^2 3^3}\right) \dots J_{n_l}\left(\frac{16x}{\pi^2 (2l+1)^3}\right) \dots \\ + 2 \sum_{n_1, n_2, \dots / 2 + \sum_{l=0}^{\infty} n_l(2l+1)=0} J_{n_0}\left(\frac{32x}{\pi^2 1^3}\right) J_{n_1}\left(\frac{32x}{\pi^2 3^3}\right) \dots J_{n_l}\left(\frac{32x}{\pi^2 (2l+1)^3}\right) + \dots = 0$$

and the collapse points are presented in figure 2.

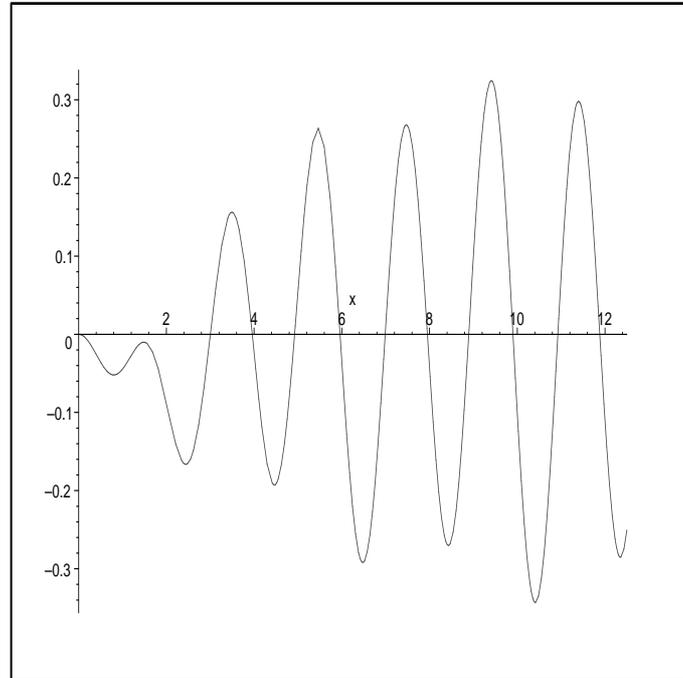


Fig.2 The  $eaE_1/\omega$ -dependence of  $F_2$

## 5. CONCLUSIONS

In this article we succeeded to find the dynamic localization conditions for the motion of an electron in the 1 D lattice considering long rang intersite interactions in the presence of dc-trigonal electric fields like (1.1) for which the commensurability condition (1.3) is fulfilled. This proceeds in terms of the collapse points characterizing the center of the quasi-energy band (3.19), which amounts to consider that  $s = 0$ . Relation (4.21) can be viewed as a reasonable generalization of result presented in [12]. The dynamic localization conditions obtained in this manner are useful in the description of higher harmonics generation [10], but related resonance phenomena characterizing several areas of physics can also be invoked [11]. Moreover, the present results are also able to provide a better understanding of transport and optical properties. The generalization of dynamic localization conditions characterizing dc-ac electric fields have been given recently [13]. Also, of further interest is a generalization of dc-bichromatic electric fields discussed latter [9].

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