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Dedicated to Prof. Ioan A. Rus on the occasion of his 85th anniversary

Positive solutions for second-order differential equations of Kirchhoff type on the half-line

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ABSTRACT. The aim of the present paper is to study the existence of nontrivial nonnegative solutions for a second-order boundary value problem of Kirchhoff type on the half-line. Our approach is based on variational methods, a monotonicity trick related to the mountain pass lemma, cut-off functional technique, and a Pohozaev type identity.

1. INTRODUCTION

In this work, we are concerned with nontrivial nonnegative solutions to the following problem

(1.1)

$$\begin{cases} \left(a + \lambda \int_0^{+\infty} (u'(t)^2 + bu(t)^2) dt\right) (-u''(t) + bu(t)) = f(u(t)) \text{ for a.e. } t \in (0, +\infty) \\ u(0) = u(+\infty) = 0, \end{cases}$$

where *a* and *b* are positive constants, $\lambda \ge 0$ is a parameter and $f \in C(\mathbb{R}^+, \mathbb{R}^+)$.

We assume that the following conditions are satisfied:

(H1): There exists $\theta > 1$ and nonnegative constants α , β such that $f(\xi) \le \alpha + \beta \xi^{\theta}$ for all $\xi \in \mathbb{R}^+$. (H2): $\lim_{\xi \to 0} \frac{f(\xi)}{\xi} = 0$.

(H3): $\lim_{\xi \to +\infty} \frac{f(\xi)}{\xi} = +\infty.$

Problem (1.1) is a nonlocal one due to the presence of the term $\int_0^{+\infty} (u'^2 + bu^2) dt$. This causes some mathematical difficulties which make its study particularly interesting.

This problem is related to the stationary Kirchhoff equation (see [14], [5] and [8]) describing the motion of a semi-infinite string

$$\frac{\partial^2 u}{\partial t^2} - \left(m_0 + \int_0^{+\infty} \left(\frac{\partial u}{\partial x}\right)^2 \mathrm{d}x\right) \frac{\partial^2 u}{\partial x^2} = h(t, x),$$

where m_0 is a positive constant, h(t, x) is the external force and u(t, x) is the lateral displacement at the space coordinate x and time t. This equation is an extension of the classical D'Alembert's wave equation and takes into account the length changes of the string produced by transverse vibrations. Notice that nonlocal problems also appear in other fields

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such as biological systems, where, for example, *u* is a population density whose dynamics depends on the average of the density itself.

If we set a = 1 and $\lambda = 0$, then (1.1) reduces to the following second order semi-linear boundary value problem on the half-line

(1.2)
$$\begin{cases} -u''(t) + bu(t) = f(u(t)) \text{ for a.e. } t \in (0, +\infty) \\ u(0) = u(+\infty) = 0. \end{cases}$$

This type of problems has been extensively studied in the literature by several authors using different methods, for example, Zima [20] considered the following problem

$$\left\{ \begin{array}{l} -u''(t) + k^2 u(t) = f(t, u(t)), \ t \in (0, +\infty) \\ u(0) = u(+\infty) = 0, \end{array} \right.$$

where k > 0 and f is continuous and non-negative. By using a fixed point theorem in cones she proved the existence of at least one positive solution. The same problem has been studied in [2] by using variational methods and critical point theory in conical shells, where the authors established the existence and localization of at least two positive solutions. Also Ma and Zhu [17] by employing fixed-point theorems on cones, obtained the existence of positive solutions for the following second-order boundary value problem on the half-line

$$\left\{ \begin{array}{ll} -u''(t) + k^2(t)u(t) + \lambda m(t)f(t,u(t)), & t \in (0,+\infty) \\ u(0) = u(+\infty) = 0, \end{array} \right.$$

where $k : [0, \infty) \to (0, \infty)$ and $f : [0, \infty) \times [0, \infty) \to \mathbb{R}$ are continuous. Gomes and Sanchez [7], by using variational methods and critical point theory proved the existence of solutions to the following boundary value problem

$$\begin{cases} -u''(t) + p(t)u(t) = \lambda q(t)f(u(t)) \text{ for a.e. } t \in (0, +\infty) \\ u(0) = \alpha, \quad u(+\infty) = 0, \end{cases}$$

with $\alpha > 0$ and $p, q : [0, +\infty) \to \mathbb{R}$ continuous functions. Bonanno and O'Regan [1] by using critical point arguments established some results on the existence of multiple solutions for a second order boundary value problem on the half-line.

In recent years the existence and multiplicity of solutions for second order problems of Kirchhoff type have been studied by many authors, for example, in [18], employing the Yang index and critical groups, Perera and Zhang obtained the existence of a non trivial solution for the following second order boundary value problem of Kirchhoff type

$$\begin{cases} -\left(a+b\int_{\Omega}\left|\nabla u\right|^{2}dx\right)\left(\Delta u\right)=f\left(x,u\right) \quad \text{ in }\Omega\\ u=0 \quad \text{ on } \partial\Omega \end{cases}$$

where Ω is a smooth bounded domain in \mathbb{R}^N , N = 1, 2 or 3, a, b > 0 and f is a Carathéodory function on $\Omega \times \mathbb{R}$. In [15] Li, Li and Shi using variational methods and cut-off functional techniques established the existence of at least one positive solution for the following second-order nonlocal elliptic problem

(1.3)
$$\left(a + \lambda \int_{\mathbb{R}^N} |\nabla u|^2 \, dx + \lambda b \int_{\mathbb{R}^N} u^2 \, dx\right) \left[-\Delta u + bu\right] = f(u) \quad \text{in } \mathbb{R}^N,$$

where $N \ge 3$, a, b are positive constants and $\lambda \ge 0$ is a nonnegative parameter.

Also in [6], the authors using variational methods and iterative techniques studied the existence of non trivial positive solutions for problem (1.3) with $f(u) = K(x) |u|^{p-1} u$, $p \in (2,5)$ and N = 3.

To the best of our knowledge, there are few papers considering Kirchhoff type problems on the half line except [4, 9], where the authors studied second order boundary value problems of Kirchhoff type on the half-line with impulses by using variational methods and critical point theory.

Inspired by [15, 6], in the present paper we study the existence of at least one nontrivial nonnegative solution of problem(1.1) without the Ambrosetti-Rabinowitz (AR) condition on nonlinearity f. We use a cut-off function technique, a monotonicity trick related to the mountain pass lemma and a Pohozaev type identity, to obtain bounded Palais-Smale sequences.

The paper is organized as follows: In Section 2 we give some notations, preliminary concepts and results. Section 3 is devoted to proving our main result, namely the following theorem.

Theorem 1.1. Assume that conditions (H1), (H2) and (H3) hold. Then there exists $\lambda_0 > 0$ such that for any $\lambda \in [0, \lambda_0)$, problem (1.1) has at least one nonzero nonnegative solution.

Notice that since the result in Theorem 1.1 holds in particular for $\lambda = 0$, under conditions (H1), (H2) and (H3), we obtain that problem (1.2) has a nonzero nonnegative solution.

2. PRELIMINARIES

Denote $\mathbb{R}^+ = [0, +\infty)$ and by $||u||_q$ $(q \ge 1)$ the $L^q(\mathbb{R}^+)$ -norm. We consider the Sobolev space $H^1_0(\mathbb{R}^+) = \{u \in L^2(\mathbb{R}^+) : u' \in L^2(\mathbb{R}^+), u(0) = 0\}$, endowed with inner product and norm

$$(u,v) = \int_0^{+\infty} \left(u'(t)v'(t) + bu(t)v(t) \right) \mathrm{d}t, \quad \|u\| = \left(\int_0^{+\infty} \left(u'(t)^2 + bu(t)^2 \right) \mathrm{d}t \right)^{1/2},$$

we denote by $H^{-1}(\mathbb{R}^+)$ the dual of $H^1_0(\mathbb{R}^+)$ and we use the symbol $\langle \cdot, \cdot \rangle$ for the duality mapping between $H^1_0(\mathbb{R}^+)$ and $H^{-1}(\mathbb{R}^+)$.

It is clear that the embedding $H_0^1(\mathbb{R}^+) \hookrightarrow L^2(\mathbb{R}^+)$ is continuous and $||u||_2 \leq \frac{1}{\sqrt{b}} ||u||$, $u \in H_0^1(\mathbb{R}^+)$. Notice that if $u \in H_0^1(\mathbb{R}^+)$, then $u(+\infty) = 0$ (see [3, Corollary 8.9]).

Since, contrary to the case of bounded intervals, the Sobolev embedding $H_0^1(\mathbb{R}^+) \hookrightarrow C(\mathbb{R}^+)$ is not compact, it is usually difficult to prove that a bounded Palais-Smale sequence has a strongly convergent subsequence, if we seek solutions of (1.1) by variational methods. To overcome this difficulty we consider a continuous function $p : \mathbb{R}^+ \longrightarrow (0, +\infty)$ with $p^{-(\theta+1)} \in L^1(\mathbb{R}^+)$ and

(2.4)
$$\lim_{t \to +\infty} \sqrt{t} p(t) = 0,$$

and the weighted Banach space $C_{l,p}(\mathbb{R}^+)$ defined by

$$C_{l,p}\left(\mathbb{R}^{+}\right) = \left\{ u \in C\left(\mathbb{R}^{+}\right) : \lim_{t \to +\infty} p(t)u(t) = 0 \right\},\$$

endowed with the norm $||u||_{\infty,p} = \sup_{t \in \mathbb{R}^+} p(t)|u(t)|$. Concerning this space we have the following result proved in [2].

Lemma 2.1. Assume that (2.4) holds. Then

(a): $H_0^1(\mathbb{R}^+)$ is continuously embedded in $C_{l,p}(\mathbb{R}^+)$, more precisely, for every $u \in H_0^1(\mathbb{R}^+)$, one has

(2.5)
$$||u||_{\infty,p} \le c_{\infty,p} \left(\int_0^{+\infty} u'(t)^2 dt \right)^{1/2} \le c_{\infty,p} ||u||,$$

where
$$c_{\infty,p} = \sup_{t \in \mathbb{R}^+} \sqrt{t} p(t)$$
.
(b): The embedding $H_0^1(\mathbb{R}^+) \hookrightarrow C_{l,p}(\mathbb{R}^+)$ is compact.

Let \tilde{f} be the odd extension of f to the whole \mathbb{R} , i.e., $\tilde{f}(\xi) = -f(-\xi)$ for $\xi < 0$, and $\tilde{F}(\xi) = \int_0^{\xi} \tilde{f}(s) ds$. Note that from (H2), one has f(0) = 0, and consequently the extension \tilde{f} is continuous on \mathbb{R} .

We associate to problem (1.1) the energy functional $J_{\lambda} : H_0^1(\mathbb{R}^+) \to \mathbb{R}$,

$$J_{\lambda}(u) = \frac{a}{2} \|u\|^2 + \frac{\lambda}{4} \|u\|^4 - \int_0^{+\infty} \widetilde{F}(u(t)) dt.$$

Under the assumptions on f we have the following result.

Lemma 2.2. The energy functional J_{λ} is of class C^1 on $H_0^1(\mathbb{R}^+)$ and for every $u, v \in H_0^1(\mathbb{R}^+)$ one has

$$\langle J'_{\lambda}(u), v \rangle = \left(a + \lambda \|u\|^2\right)(u, v) - \int_0^{+\infty} \widetilde{f}(u(t))v(t) \mathrm{d}t.$$

Proof. Step 1: The functional J_{λ} is well-defined. Indeed, for $u \in H_0^1(\mathbb{R}^+)$, the condition (H1) implies that

$$\left|\widetilde{F}(u(t))\right| \le \left|\int_0^{u(t)} \left|\widetilde{f}(\xi)\right| \mathrm{d}\xi\right| \le \left|\int_0^{u(t)} \left(\alpha + \beta |\xi|^{\theta}\right) \mathrm{d}\xi\right| \le \alpha |u(t)| + \frac{\beta}{\theta + 1} |u(t)|^{\theta + 1}.$$

Then

$$\begin{aligned} \left| \int_{0}^{+\infty} \widetilde{F}(u(t)) \mathrm{d}t \right| &\leq \int_{0}^{+\infty} \left(\alpha |u(t)| + \frac{\beta}{\theta + 1} |u(t)|^{\theta + 1} \right) \mathrm{d}t \\ &= \alpha \int_{0}^{+\infty} \frac{1}{p(t)} p(t) |u(t)| \mathrm{d}t + \frac{\beta}{\theta + 1} \int_{0}^{+\infty} \frac{1}{p^{\theta + 1}(t)} p(t)^{\theta + 1} |u(t)|^{\theta + 1} \mathrm{d}t \\ &\leq \alpha \|u\|_{\infty, p} \|p^{-1}\|_{1} + \frac{\beta}{\theta + 1} \|u\|_{\infty, p}^{\theta + 1} \|p^{-(\theta + 1)}\|_{1}. \end{aligned}$$

Since $H_0^1(\mathbb{R}^+)$ embeds continuously in $C_{l,p}(\mathbb{R}^+)$, then

$$\left| \int_{0}^{+\infty} \widetilde{F}(u(t)) \mathrm{d}t \right| \leq \left(\alpha c_{\infty,p} \| p^{-1} \|_{1} + \frac{\beta c_{\infty,p}^{\theta+1}}{\theta+1} \| p^{-(\theta+1)} \|_{1} \| u \|^{\theta} \right) \| u \|.$$

This shows that J_{λ} is well-defined.

Step 2: The functional J_{λ} is Gâteaux differentiable on $H_0^1(\mathbb{R}^+)$. Obviously, it suffices to prove this only for the functional

$$\Phi\left(u\right) := \int_{0}^{+\infty} \widetilde{F}\left(u\left(t\right)\right) \mathrm{d}t$$

More exactly we prove that for any $u, v \in H_0^1(\mathbb{R}^+)$,

$$\lim_{\tau \to 0} \frac{1}{\tau} \left(\Phi \left(u + \tau v \right) - \Phi \left(u \right) \right) = \int_0^{+\infty} \widetilde{f}(u(t)) v(t) \mathrm{d}t,$$

or equivalently

(2.6)
$$\lim_{\tau \to 0} \int_0^{+\infty} \left(\frac{1}{\tau} \left(\widetilde{F} \left(u\left(t \right) + \tau v\left(t \right) \right) - \widetilde{F} \left(u\left(t \right) \right) \right) - \widetilde{f} \left(u\left(t \right) \right) v\left(t \right) \right) \mathrm{d}t = 0,$$

which shows that

(2.7)
$$\langle \Phi'(u), v \rangle = \int_0^{+\infty} \widetilde{f}(u(t))v(t) \mathrm{d}t.$$

First, since \widetilde{F} is a primitive of \widetilde{f} , then for every $t \in \mathbb{R}^+$, one has

$$\frac{1}{\tau} \left(\widetilde{F} \left(u \left(t \right) + \tau v \left(t \right) \right) - \widetilde{F} \left(u \left(t \right) \right) \right) - \widetilde{f} \left(u \left(t \right) \right) v \left(t \right) \to 0 \quad \text{as } \tau \to 0.$$

Secondly, by the mean value theorem, for each $t \in \mathbb{R}^+$ and $0 < |\tau| < 1$, there exists a number $\eta(t, \tau)$ with $0 \le \eta(t, \tau) \le 1$ such that

$$\frac{1}{\tau} \left(\widetilde{F}(u(t) + \tau v(t)) - \widetilde{F}(u(t)) \right) - \widetilde{f}(u(t)) v(t)$$

$$= \left(\widetilde{f}(u(t) + \tau \eta(t, \tau) v(t)) - \widetilde{f}(u(t)) \right) v(t).$$

Then

(2.8)
$$\left| \frac{1}{\tau} \left(\widetilde{F}(u(t) + \tau v(t)) - \widetilde{F}(u(t)) \right) - \widetilde{f}(u(t)) v(t) \right| \\ \leq \left| \widetilde{f}(u(t) + \tau \eta(t, \tau) v(t)) v(t) \right| + \left| \widetilde{f}(u(t)) v(t) \right|.$$

By using the growth condition (H1) again, the inequality $(x+y)^{\theta} \leq 2^{\theta-1}(x^{\theta}+y^{\theta})$ $(x, y \in \mathbb{R}^+, \theta \geq 1)$ and $0 \leq \eta(t, \tau) \leq 1$, we have

$$\begin{aligned} (2.9) \quad \left| \widetilde{f}(u(t) + \tau \eta(t)v(t))v(t) \right| &\leq \left(\alpha + \beta |u(t) + \tau \eta(t)v(t)|^{\theta} \right) |v(t)| \\ &\leq \left(\alpha + 2^{\theta - 1} \beta \left(|u(t)|^{\theta} + |\tau \eta(t)v(t)|^{\theta} \right) \right) |v(t)| \\ &\leq \alpha |v(t)| + 2^{\theta - 1} \beta \left(|u(t)|^{\theta} |v(t)| + |v(t)|^{\theta + 1} \right) \\ &\leq \frac{\alpha}{p(t)} \|v\|_{\infty, p} + 2^{\theta - 1} \frac{\beta}{p(t)^{\theta + 1}} \left(\|u\|_{\infty, p}^{\theta} \|v\|_{\infty, p} + \|v\|_{\infty, p}^{\theta + 1} \right). \end{aligned}$$

Similarly

(2.10)
$$\left| \widetilde{f}(u(t)) v(t) \right| \leq \frac{\alpha}{p(t)} \|v\|_{\infty,p} + 2^{\theta-1} \frac{\beta}{p(t)^{\theta+1}} \left(\|u\|_{\infty,p}^{\theta} \|v\|_{\infty,p} + \|v\|_{\infty,p}^{\theta+1} \right).$$

Since the embedding $H_0^1(\mathbb{R}^+) \hookrightarrow C_{l,p}(\mathbb{R}^+)$ is continuous, (2.8), (2.9) and (2.10) yield

$$\begin{aligned} & \left| \frac{1}{\tau} \left(\widetilde{F}(u(t) + \tau v(t)) - \widetilde{F}(u(t)) \right) - \widetilde{f}(u(t)) v(t) \right| \\ & \leq 2 \left(\frac{\alpha}{p(t)} \|v\|_{\infty, p} + 2^{\theta - 1} \frac{\beta}{p(t)^{\theta + 1}} \left(\|u\|_{\infty, p}^{\theta} \|v\|_{\infty, p} + \|v\|_{\infty, p}^{\theta + 1} \right) \right) \\ & \leq 2\alpha c_{\infty, p} \|v\| \frac{1}{p(t)} + 2^{\theta} \beta c_{\infty, p}^{\theta + 1} \left(\|u\|^{\theta} \|v\| + \|v\|^{\theta + 1} \right) \frac{1}{p(t)^{\theta + 1}} =: g(t). \end{aligned}$$

Since $p^{-(\theta+1)} \in L^1(\mathbb{R}^+)$, one also has $p^{-1} \in L^1(\mathbb{R}^+)$ and then $g \in L^1(\mathbb{R}^+)$. So the Lebesgue dominated convergence theorem implies that (2.6) is true. Thus, Φ is Gâteaux differentiable and (2.7) holds.

Step 3. J'_{λ} is continuous. Here again, it suffices to prove this property for Φ' . Let $\{u_n\} \subset H^1_0(\mathbb{R}^+)$ be such that $u_n \to u$ in $H^1_0(\mathbb{R}^+)$ as $n \to +\infty$. Then $u_n \to u$ in $C_{l,p}(\mathbb{R}^+)$ as $n \to +\infty$. So $u_n(t) \to u(t)$ for every $t \in \mathbb{R}^+$ and there exists r > 0 such that $||u_n||_{\infty,p} \leq r$ for all n. Thus, from the continuity of \tilde{f} , we have $\tilde{f}(u_n(t)) \to \tilde{f}(u(t))$ as $n \to +\infty$ for every $t \in \mathbb{R}^+$.

Furthermore, using (H1) and a similar reasoning to the above one, by the Lebesgue dominated convergence theorem, we have

$$\int_0^{+\infty} \widetilde{f}(u_n(t))v(t) \mathrm{d}t \to \int_0^{+\infty} \widetilde{f}(u(t))v(t) \mathrm{d}t \quad \text{as} \ n \to +\infty,$$

uniformly with respect to $v \in H_0^1(\mathbb{R}^+)$ with $||v|| \leq 1$. As a consequence, $||\Phi'(u_n) - \Psi(u_n)| \leq 1$. $\Phi'(u)||_{H^{-1}(\mathbb{R}^+)} \to 0$ as $n \to +\infty$, which proves the continuity of Φ' .

It is standard to verify that the critical points of the functional J_{λ} are the weak solutions of the problem

(2.11)
$$\begin{cases} (a + \lambda \int_0^{+\infty} (u'(t)^2 + bu(t)^2) dt) (-u''(t) + bu(t)) = \widetilde{f}(u(t)) \text{ for a.e. } t \in (0, +\infty) \\ u(0) = u(+\infty) = 0, \end{cases}$$

and if u solves (2.11), then the function |u| is a nonnegative solution of (1.1).

The main tool for establishing our existence result is the following "monotonicity trick" theorem due to Struwe [19] and Jeanjean [10], [11].

Theorem 2.2 ([10, 11]). Let $(X, \|\cdot\|_X)$ be a Banach space and $I \subset \mathbb{R}_+$ an interval. Consider a family of C^1 functionals on X of the form

$$J_{\mu}(u) = A(u) - \mu B(u), \quad \mu \in I,$$

with $J_{\mu}(0) = 0$ for every $\mu \in I$, B nonnegative, and either $A(u) \to +\infty$ or $B(u) \to +\infty$ as $||u||_X \to +\infty$. For any $\mu \in I$, let

$$\Gamma_{\mu} = \{ \gamma \in C([0,1], X) : \gamma(0) = 0, \ J_{\mu}(\gamma(1)) < 0 \}.$$

If for each $\mu \in I$ *, the set* Γ_{μ} *is nonempty and*

$$c_{\mu} = \inf_{\gamma \in \Gamma_{\mu}} \max_{s \in [0,1]} J_{\mu}(\gamma(s)) > 0,$$

then for almost every $\mu \in I$ there is a sequence $\{u_n\} \subset X$ such that

- (i) $\{u_n\}$ is bounded;
- (ii) $J_{\mu}(u_n) \to c_{\mu} \text{ as } n \to +\infty;$ (iii) $J'_{\mu}(u_n) \to 0 \text{ as } n \to +\infty, \text{ in the dual } X^{-1} \text{ of } X.$

3. PROOF OF THE MAIN RESULT

In this section, we use Theorem 2.2 to proof our main result. Since the nonlinearity \hat{f} may not satisfy the Ambrosetti-Rabinowitz condition, it is difficult to obtain the boundedness of any Palais-Smale sequence for the functional J_{λ} , even if a Palais-Smale sequence has been obtained. To overcome this difficulty, we adopt the cut-off functional technique introduced in [12, 13] (see also [15, 16]). We use a cut-off function $\psi \in C^1(\mathbb{R}^+, [0, 1])$ satisfying

$$\begin{split} \psi(t) &= 1 \ \text{for} \ t \in [0,1], \quad 0 \leq \psi(t) \leq 1 \ \text{for} \ t \in (1,2), \\ \psi(t) &= 0 \ \text{for} \ t \in [2,\infty), \quad \|\psi'\|_{\infty} \leq 2, \end{split}$$

and for any T > 0, we introduce the following truncated functional $J_{\lambda}^{T} : H_{0}^{1}(\mathbb{R}^{+}) \to \mathbb{R}$,

$$J_{\lambda}^{T}(u) = \frac{a}{2} \|u\|^{2} + \frac{\lambda}{4} h_{T}(u) \|u\|^{4} - \int_{0}^{+\infty} \widetilde{F}(u(t)) dt,$$

where $h_T(u) = \psi\left(\frac{\|u\|^2}{T^2}\right)$. Of course, any critical point u of J_{λ}^T with $\|u\| \leq T$ is a critical point of J_{λ} . The number *T* will be conveniently chosen later.

In order to apply Theorem 2.2, we take $X = H_0^1(\mathbb{R}^+)$, $I = [\delta, 1]$ for some fixed $\delta \in (0, 1)$, and

$$A(u) = \frac{1}{2}a||u||^2 + \frac{1}{4}\lambda h_T(u)||u||^4, \quad B(u) = \int_0^{+\infty} \widetilde{F}(u(t))dt.$$

So for a given $\lambda \ge 0$, we consider the family of functionals depending on the parameter $\mu \in I$, namely

$$J_{\lambda,\mu}^{T}(u) = \frac{a}{2} \|u\|^{2} + \frac{\lambda}{4} h_{T}(u) \|u\|^{4} - \mu \int_{0}^{+\infty} \widetilde{F}(u(t)) dt, \quad u \in H_{0}^{1}(\mathbb{R}^{+}).$$

Since $\widetilde{F} \ge 0$ on \mathbb{R} , one has that B is nonnegative on $H_0^1(\mathbb{R}^+)$. Also, it is clear that $A(u) \to +\infty$ as $||u|| \to +\infty$ and $J_{\lambda,\mu}^T(0) = 0$. By a similar proof to that of Lemma 2.2, we can see that $J_{\lambda,\mu}^T$ is of class C^1 on $H_0^1(\mathbb{R}^+)$ and for every $u, v \in H_0^1(\mathbb{R}^+)$,

(3.12)
$$\langle (J_{\lambda,\mu}^{T})'(u), v \rangle = \left(a + \lambda h_{T}(u) \|u\|^{2} + \frac{\lambda}{2T^{2}} \psi'(\frac{\|u\|^{2}}{T^{2}}) \|u\|^{4} \right) (u,v)$$
$$-\mu \int_{0}^{+\infty} \widetilde{f}(u(t))v(t) \mathrm{d}t.$$

Next we show that the other assumptions of Theorem 2.2 are fulfilled by the functionals $J_{\lambda,\mu}^T$.

Denote

$$\Gamma_{\lambda,\mu} = \{ \gamma \in C \left([0,1], H_0^1(\mathbb{R}^+) \right) : \ \gamma(0) = 0, \ J_{\lambda,\mu}^T(\gamma(1)) < 0 \}, \\ c_{\lambda,\mu} = \inf_{\gamma \in \Gamma_{\lambda,\mu}} \max_{s \in [0,1]} J_{\lambda,\mu}^T(\gamma(s)).$$

Lemma 3.3. For every $\lambda \ge 0$ and $\mu \in I$, one has $\Gamma_{\lambda,\mu} \neq \emptyset$.

Proof. Let us consider a fixed $\phi \in C_0^{\infty}(\mathbb{R}^+)$ with $\phi \ge 0$, $\|\phi\| = 1$, and assume that $supp(\phi) \subset [0, R]$ for some R > 0. By (H3), we have that for any M > 0 with

$$M\delta \int_0^R \phi^2(t)dt > \frac{a}{2}$$

there exists a constant C(M) > 0 such that $\widetilde{F}(\xi) \ge M\xi^2 - C(M)$ for all $\xi \in \mathbb{R}$. Then, for s > 0 with $s^2 \ge 2T^2$, one has

$$\begin{split} J_{\lambda,\mu}^{T}(s\phi) &= \frac{a}{2}s^{2} \|\phi\|^{2} + \frac{\lambda}{4}\psi\left(\frac{s^{2}\|\phi\|^{2}}{T^{2}}\right)s^{4}\|\phi\|^{4} - \mu\int_{0}^{+\infty}\widetilde{F}(s\phi(t))\mathrm{d}t \\ &= \frac{a}{2}s^{2} - \mu\int_{0}^{+\infty}\widetilde{F}(s\phi(t))\mathrm{d}t \\ &\leq \frac{a}{2}s^{2} - \delta\int_{0}^{R}\left(Ms^{2}\phi^{2}(t) - C(M)\right)\mathrm{d}t \\ &\leq \left(\frac{a}{2} - M\delta\int_{0}^{R}\phi^{2}(t)\mathrm{d}t\right)s^{2} + C(M)R\delta. \end{split}$$

Then in view of (3.13) we can choose $s_0 > 0$ large enough that $J_{\lambda,\mu}^T(s_0\phi) < 0$. Consequently, the path $\gamma(s) = ss_0\phi$ ($s \in [0,1]$) belongs to $\Gamma_{\lambda,\mu}$ and thus $\Gamma_{\lambda,\mu} \neq \emptyset$.

Lemma 3.4. There exists a positive constant c such that $c_{\lambda,\mu} \ge c$ for all $\lambda \ge 0$ and $\mu \in I$.

Proof. By (H1) and (H2), we see that for any $\epsilon > 0$ (let it be $\langle ab/2 \rangle$), there exists a constant $C_{\epsilon} > 0$ such that

(3.14)
$$\left| \widetilde{f}(\xi) \right| \le \epsilon \left| \xi \right| + C_{\epsilon} \left| \xi \right|^{\theta} \text{ for all } \xi \in \mathbb{R}.$$

Then

(3.15)
$$\left| \widetilde{F}(\xi) \right| \leq \frac{\epsilon}{2} \xi^2 + \frac{C_{\epsilon}}{\theta + 1} |\xi|^{\theta + 1} \text{ for all } \xi \in \mathbb{R}.$$

Hence for any $u \in H_0^1(\mathbb{R}^+)$, (3.15) implies

$$\begin{split} \int_{0}^{+\infty} \widetilde{F}(u(t)) \mathrm{d}t &\leq \int_{0}^{+\infty} \left(\frac{\epsilon}{2} |u(t)|^{2} + \frac{C_{\epsilon}}{\theta + 1} |u(t)|^{\theta + 1} \right) \mathrm{d}t \\ &\leq \frac{\epsilon}{2} \int_{0}^{+\infty} |u(t)|^{2} \mathrm{d}t + \frac{C_{\epsilon}}{\theta + 1} \int_{0}^{+\infty} \frac{1}{p(t)^{\theta + 1}} p(t)^{\theta + 1} |u(t)|^{\theta + 1} \mathrm{d}t \\ &\leq \frac{\epsilon}{2} \|u\|_{2}^{2} + \frac{C_{\epsilon}}{\theta + 1} \|p^{-(\theta + 1)}\|_{1} \|u\|_{\infty, p}^{\theta, 1}. \end{split}$$

Furthermore, using the fact that $H_0^1(\mathbb{R}^+)$ embeds continuously in $L^2(\mathbb{R}^+)$ and in $C_{l,p}(\mathbb{R}^+)$ one has

$$\int_{0}^{+\infty} \widetilde{F}(u(t)) \mathrm{d}t \le \frac{\epsilon}{2b} \|u\|^{2} + \frac{C_{\epsilon}}{\theta + 1} c_{\infty,p}^{\theta + 1} \|p^{-(\theta + 1)}\|_{1} \|u\|^{\theta + 1}.$$

Then for every $\lambda \ge 0$ and $\mu \in I$, we have

$$\begin{aligned} J_{\lambda,\mu}^{T}(u) &= \frac{a}{2} \|u\|^{2} + \frac{\lambda}{4} h_{T}(u) \|u\|^{4} - \mu \int_{0}^{+\infty} \widetilde{F}(u(t)) dt \\ &\geq \frac{a}{2} \|u\|^{2} - \int_{0}^{+\infty} \widetilde{F}(u(t)) dt \\ &\geq \frac{a}{2} \|u\|^{2} - \frac{\epsilon}{2b} \|u\|^{2} - \frac{C_{\epsilon}}{\theta + 1} c_{\infty,p}^{\theta + 1} \|p^{-(\theta + 1)}\|_{1} \|u\|^{\theta + 1} \\ &= \left[\left(\frac{a}{2} - \frac{\epsilon}{2b}\right) - \frac{C_{\epsilon}}{\theta + 1} c_{\infty,p}^{\theta + 1} \|p^{-(\theta + 1)}\|_{1} \|u\|^{\theta - 1} \right] \|u\|^{2}. \end{aligned}$$

Since $\theta > 1$, there exists $\rho > 0$ such that if $||u|| \le \rho$, then

$$\frac{C_{\epsilon}}{\theta+1} c_{\infty,p}^{\theta+1} \| p^{-(\theta+1)} \|_1 \| u \|^{\theta-1} \le \frac{a}{8}$$

Thus, since $\epsilon < \frac{ab}{2}$, one has $\frac{a}{2} - \frac{\epsilon}{2b} \ge \frac{a}{4}$, and then for all $\lambda \ge 0$, $\mu \in I$ and $u \in H_0^1(\mathbb{R}^+)$ with $0 < \|u\| \le \rho$, we have

$$J_{\lambda,\mu}^T(u) \ge \frac{a}{8} \|u\|^2.$$

In particular, for $||u|| = \rho$, we have

$$J^T_{\lambda,\mu}(u) \geq \frac{a}{8}\rho^2 =: c > 0.$$

Now fix $\lambda \geq 0$ and $\mu \in I$ and take any $\gamma \in \Gamma_{\lambda,\mu}$. Since by the definition of $\Gamma_{\lambda,\mu}$ one has $J_{\lambda,\mu}^T(\gamma(1)) < 0$, we must have $\|\gamma(1)\| > \rho$. Since $\gamma(0) = 0$, then there exists $s_{\gamma} \in (0, 1)$ such that $\|\gamma(s_{\gamma})\| = \rho$. Therefore $J_{\lambda,\mu}^T(\gamma(s_{\gamma})) \geq c$. Now

$$c_{\lambda,\mu} = \inf_{\gamma \in \Gamma_{\lambda,\mu}} \max_{s \in [0,1]} J^T_{\lambda,\mu}(\gamma(s)) \ge \inf_{\gamma \in \Gamma_{\lambda,\mu}} J^T_{\lambda,\mu}(\gamma(s_{\gamma})) \ge c,$$

 \Box

which finishes the proof.

Next we prove that if λ is small enough, then for any $\mu \in I$, every bounded Palais-Smale sequence of the functional $J_{\lambda,\mu}^T$ at level $c_{\lambda,\mu}$ has a convergent subsequence.

Lemma 3.5. Assume that $4\lambda T^2 < a$. Then for any $\mu \in I$, every bounded Palais-Smale sequence of $J_{\lambda,\mu}^T$ at level $c_{\lambda,\mu}$ has a convergent subsequence.

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Proof. Let $\mu \in I$ and let $\{v_n\}$ be a bounded Palais-Smale sequence of $J_{\lambda,\mu}^T$. Assume that $||v_n|| \leq C$ for every *n*. Then

$$\{v_n\}$$
 and $\{J_{\lambda,\mu}^T(v_n)\}$ are bounded,
 $(J_{\lambda,\mu}^T)'(v_n) \to 0$ in $H^{-1}(\mathbb{R}^+)$ as $n \to +\infty$.

Since the embedding $H_0^1(\mathbb{R}^+) \hookrightarrow C_{l,p}(\mathbb{R}^+)$ is compact (see Lemma 2.1 (b)), up to a subsequence, there exists $v \in H_0^1(\mathbb{R}^+)$ such that

(3.16) $v_n \rightharpoonup v$ weakly in $H^1_0(\mathbb{R}^+)$ and $v_n \rightarrow v$ strongly in $C_{l,p}(\mathbb{R}^+)$.

Using (H1), the continuity of the embedding $H_0^1(\mathbb{R}^+) \hookrightarrow C_{l,p}(\mathbb{R}^+)$ and the boundedness of $\{v_n\}$, we obtain

$$\begin{split} \left| \int_{0}^{+\infty} \widetilde{f}(v_{n}(t))(v_{n}(t) - v(t)) \mathrm{d}t \right| &\leq \int_{0}^{+\infty} \widetilde{f}(v_{n}(t)) \left| |v_{n}(t) - v(t)| \mathrm{d}t \\ &\leq \int_{0}^{+\infty} \alpha |v_{n}(t) - v(t)| \mathrm{d}t + \beta \int_{0}^{+\infty} |v_{n}(t)|^{\theta} |v_{n}(t) - v(t)| \mathrm{d}t \\ &\leq \alpha \left\| p^{-1} \right\|_{1} \left\| v_{n} - v \right\|_{\infty, p} + \beta \left\| p^{-(\theta+1)} \right\|_{1} \left\| v_{n} \right\|_{\infty, p}^{\theta} \|v_{n} - v\|_{\infty, p} \\ &\leq \alpha \left\| p^{-1} \right\|_{1} \left\| v_{n} - v \right\|_{\infty, p} + \beta c_{\infty, p}^{\theta} C^{\theta} \left\| p^{-(\theta+1)} \right\|_{1} \left\| v_{n} - v \right\|_{\infty, p}. \end{split}$$

Then, by (3.16) we have

$$\int_0^{+\infty} \widetilde{f}(v_n(t))(v_n(t) - v(t)) dt \to 0 \quad \text{as } n \to +\infty.$$

Moreover, since $(J_{\lambda,\mu}^T)'(v_n) \to 0$ and $v_n \rightharpoonup v$ weakly in $H_0^1(\mathbb{R}^+)$, one has

$$\langle (J_{\lambda,\mu}^T)'(v_n), v_n - v \rangle \to 0 \text{ as } n \to +\infty.$$

Hence, using the expression of $(J_{\lambda,\mu}^T)'$ we obtain

(3.17)
$$\begin{bmatrix} a + \lambda h_T(v_n) \|v_n\|^2 + \frac{\lambda}{2T^2} \psi'\left(\frac{\|v_n\|^2}{T^2}\right) \|v_n\|^4 \end{bmatrix} (v_n, v_n - v)$$
$$= \langle (J_{\lambda,\mu}^T)'(v_n), v_n - v \rangle + \mu \int_0^{+\infty} \tilde{f}(v(t)) (v_n(t) - v(t)) dt \to 0 \quad \text{as } n \to +\infty.$$

Now we show that

$$(3.18) (v_n, v_n - v) \to 0 \quad \text{as } n \to +\infty.$$

If for some index n one has $||v_n||^2 > 2T^2$, then

(3.19)
$$h_T(v_n) = 0 \text{ and } \psi'\left(\frac{\|v_n\|^2}{T^2}\right) = 0.$$

Consequently

(3.20)
$$\left| a + \lambda h_T(v_n) \|v_n\|^2 + \frac{\lambda}{2T^2} \psi'\left(\frac{\|v_n\|^2}{T^2}\right) \|v_n\|^4 \right| = a.$$

If for an index n one has $||v_n||^2 \le 2T^2$, then

(3.21)
$$0 \le h_T(v_n) \le 1, \quad \left| \psi'\left(\frac{\|v_n\|^2}{T^2}\right) \right| \le \|\psi'\|_{\infty} \le 2,$$

and so

$$\left|\psi'\left(\frac{\|v_n\|^2}{T^2}\right)\|v_n\|^4\right| \le 8T^4 \text{ and } 0 \le h_T(v_n)\|v_n\|^2 \le 2T^2.$$

Then since $4\lambda T^2 < a$, we have

(3.22)
$$\begin{vmatrix} a + \lambda h_T(v_n) \|v_n\|^2 + \frac{\lambda}{2T^2} \psi'\left(\frac{\|v_n\|^2}{T^2}\right) \|v_n\|^4 \\ \ge a + \lambda h_T(v_n) \|v_n\|^2 - \frac{\lambda}{2T^2} \left|\psi'\left(\frac{\|v_n\|^2}{T^2}\right) \|v_n\|^4 \right| \\ \ge a - \frac{\lambda}{2T^2} 8T^4 = a - 4\lambda T^2 > 0.$$

Thus, in view of (3.20) and (3.22), if $4\lambda T^2 < a$, then for every *n*,

(3.23)
$$\left| a + \lambda h_T(v_n) \|v_n\|^2 + \frac{\lambda}{2T^2} \psi'\left(\frac{\|v_n\|^2}{T^2}\right) \|v_n\|^4 \right| \ge a - 4\lambda T^2 > 0.$$

This together with (3.17) yields (3.18).

On the other hand, since $v_n \rightarrow v$ weakly in $H_0^1(\mathbb{R}^+)$, we have $(v, v_n - v) \rightarrow 0$. This together with (3.18) implies that $||v_n - v|| \rightarrow 0$, that is $v_n \rightarrow v$ strongly in $H_0^1(\mathbb{R}^+)$, as desired.

Remark 3.1. (a) The discussion leading to (3.19) and (3.21) shows that for any $u \in H_0^1(\mathbb{R}^+)$,

one has

$$h_T(u) \|u\|^4 \le 4T^4 \text{ and } \left|\psi'\left(\frac{\|v_n\|^2}{T^2}\right)\right| \|u\|^6 \le 16T^6.$$

(b) If $8\lambda T^2 \leq a$, then $a - 4\lambda T^2 \geq \frac{a}{2}$ and estimate (3.23) implies that for every $u \in H^1_0(R^+)$, one has

$$\left| a + \lambda h_T(u) \|u\|^2 + \frac{\lambda}{2T^2} \psi'\left(\frac{\|u\|^2}{T^2}\right) \|u\|^4 \right| \ge \frac{a}{2}$$

Using the above lemmas, from Theorem 2.2 we obtain the following result.

Lemma 3.6. Assume that $4\lambda T^2 < a$. For almost every $\mu \in I$, there exists $v^{\mu} \in H^1_0(\mathbb{R}^+) \setminus \{0\}$ such that

(3.24)
$$(J_{\lambda,\mu}^T)'(v^{\mu}) = 0 \quad and \quad J_{\lambda,\mu}^T(v^{\mu}) = c_{\lambda,\mu}.$$

Proof. The above lemmas guarantee that for each $\lambda \ge 0$, Theorem 2.2 applies to the family of functionals $\{J_{\lambda,\mu}^T\}$. Hence, for almost every $\mu \in I$, we can find a bounded sequence $\{v_n^{\mu}\} \subset H_0^1(\mathbb{R}^+)$ satisfying

(3.25)
$$J_{\lambda,\mu}^T(v_n^{\mu}) \to c_{\lambda,\mu} \text{ and } (J_{\lambda,\mu}^T)'(v_n^{\mu}) \to 0 \text{ as } n \to +\infty.$$

Now, if $4\lambda T^2 < a$, then according to Lemma 3.5, there exists $v^{\mu} \in H^1_0(\mathbb{R}^+)$ such that up to a subsequence $v^{\mu}_n \to v^{\mu}$ in $H^1_0(\mathbb{R}^+)$. So (3.25) and the continuity of $J^T_{\lambda,\mu}$ and $(J^T_{\lambda,\mu})'$ implies (3.24). Finally, from $c_{\lambda,\mu} \ge c > 0$ it follows that $v^{\mu} \ne 0$.

Our next result is a Pohozaev type identity.

Lemma 3.7. If $u \in H_0^1(\mathbb{R}^+)$ is a critical point of $J_{\lambda,\mu}^T$, i.e., u is a weak solution of the problem (3.26)

$$\begin{cases} \left(a + \lambda h_T(u) \|u\|^2 + \frac{\lambda}{2T^2} \psi'\left(\frac{\|u\|^2}{T^2}\right) \|u\|^4\right) \left(-u''(t) + bu(t)\right) = \mu \widetilde{f}(u(t)) \text{ for a.e. } t \in (0, +\infty), \\ u(0) = u(+\infty) = 0, \end{cases}$$

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then

(3.27)
$$\left(\frac{1}{2}\int_{0}^{+\infty}(-u'(t)^{2}+bu(t)^{2})\mathrm{d}t\right)\left(a+\lambda h_{T}(u)\|u\|^{2}+\frac{\lambda}{2T^{2}}\psi'\left(\frac{\|u\|^{2}}{T^{2}}\right)\|u\|^{4}\right)$$
$$= \mu\int_{0}^{+\infty}\widetilde{F}(u(t))\mathrm{d}t.$$

Proof. Let $S(s) : H_0^1(\mathbb{R}^+) \longrightarrow H_0^1(\mathbb{R}^+)$ be the family of transformations $S(s)u(t) = u\left(\frac{t}{s}\right)$, s > 0. Clearly, for any $u \in H_0^1(\mathbb{R}^+)$ one has

$$J_{\lambda,\mu}^{T}(\mathcal{S}(s)u) = \frac{a}{2} \left(\int_{0}^{+\infty} (\frac{1}{s}u'(t)^{2} + bsu(t)^{2}) dt \right) + \frac{\lambda}{4} \psi \left(\frac{\int_{0}^{+\infty} (\frac{1}{s}u'(t)^{2} + bsu(t)^{2}) dt}{T^{2}} \right)$$

$$(3.28) \times \left(\int_{0}^{+\infty} (\frac{1}{s}u'(t)^{2} + bsu(t)^{2}) dt \right)^{2} - \mu \int_{0}^{+\infty} s\widetilde{F}(u(t)) dt.$$

 $\left(\int_0 \left(\frac{-u}{s}(t) + bsu(t)\right) dt\right) - \mu \int_0^{\infty} dt$ If *u* is a critical point of $J_{\lambda,u}^T$, then since S(1) = id, we have

$$\frac{d}{ds} \left. J_{\lambda,\mu}^T \left(\mathcal{S}(s)u \right) \right|_{s=1} = 0,$$

which by (3.28) gives

$$\begin{aligned} \frac{a}{2} \left(\int_0^{+\infty} (-u'(t)^2 + bu(t)^2) \mathrm{d}t \right) &+ \frac{\lambda}{4T^2} \left(\int_0^{+\infty} (-u'(t)^2 + bu(t)^2) \mathrm{d}t \right) \psi'\left(\frac{\|u\|^2}{T^2}\right) \|u\|^4 \\ &+ \frac{\lambda}{2} \left(\int_0^{+\infty} (-u'(t)^2 + bu(t)^2) \mathrm{d}t \right) \psi\left(\frac{\|u\|^2}{T^2}\right) \|u\|^2 - \mu \int_0^{+\infty} \widetilde{F}(u(t)) \mathrm{d}t = 0, \end{aligned}$$
at is (3.27).

that

The next lemma will help us to come back to the untruncated functional J_{λ} .

Lemma 3.8. For T > 0 sufficiently large, there exists $\lambda_0 > 0$ such that for every $\lambda \in [0, \lambda_0)$ and $\mu \in I$, any critical point u of the functional $J_{\lambda,\mu}^T$ at level $c_{\lambda,\mu}$ is such that $||u|| \leq T$.

Proof. Let *u* be any critical point of J_{λ,μ_n}^T at level $c_{\lambda,\mu}$. From Lemma 3.7 we have (3.29)

$$\mu \int_{0}^{+\infty} \widetilde{F}(u(t)) dt = \left(\frac{1}{2}int_{0}^{+\infty}(-u'(t)^{2} + bu(t)^{2}) dt\right) \times \left(a + \lambda h_{T}(u) \|u\|^{2} + \frac{\lambda}{2T^{2}}\psi'\left(\frac{\|u\|^{2}}{T^{2}}\right) \|u\|^{4}\right)$$

This combined with $I^{T}_{-}(u) = c_{1}$ yields

This combined with $J_{\lambda,\mu}^{I}(u) = c_{\lambda,\mu}$ yields

(3.30)
$$\left(\int_0^{+\infty} u'(t)^2 dt \right) \times \left(a + \lambda h_T(u) \|u\|^2 + \frac{\lambda}{2T^2} \psi'\left(\frac{\|u\|^2}{T^2}\right) \|u\|^4 \right)$$
$$= c_{\lambda,\mu} + \frac{\lambda}{4} h_T(u) \|u\|^4 + \frac{\lambda}{4T^2} \psi'\left(\frac{\|u\|^2}{T^2}\right) \|u\|^6.$$

Now from Remark 3.1 (a) we have

$$0 \le \frac{\lambda}{4} h_T(u) \|u\|^4 \le \lambda T^4 \quad \text{and} \quad \frac{\lambda}{4T^2} \left| \psi'\left(\frac{\|u\|^2}{T^2}\right) \right| \|u\|^6 \le 4\lambda T^4,$$

which if $8\lambda T^2 \leq a$, in view of Remark 3.1 (b) implies

$$\frac{a}{2} \int_{0}^{+\infty} u'(t)^{2} dt \leq \left| a + \lambda h_{T}(u) \|u\|^{2} + \frac{\lambda}{2T^{2}} \psi'\left(\frac{\|u\|^{2}}{T^{2}}\right) \|u\|^{4} \left| \left(\int_{0}^{+\infty} u'(t)^{2} dt \right) \right|^{2} dt$$

$$(3.31) \qquad = \left| c_{\lambda,\mu} + \frac{\lambda}{4} h_{T}(u) \|u\|^{4} + \frac{\lambda}{4T^{2}} \psi'\left(\frac{\|u\|^{2}}{T^{2}}\right) \|u\|^{6} \right| \leq c_{\lambda,\mu} + 5\lambda T^{4}.$$

Next we also need to estimate $c_{\lambda,\mu}$. From the definition of $c_{\lambda,\mu}$ and the proof of Lemma 3.3, we have

$$c_{\lambda,\mu} \leq \max_{s\geq 0} J^T_{\lambda,\mu}(s\phi)$$

$$\leq \max_{s\geq 0} \left\{ \frac{a}{2}s^2 + \frac{\lambda}{4}\psi\left(\frac{s^2}{T^2}\right)s^4 - \mu \int_0^{+\infty} \widetilde{F}(s\phi)dt \right\}$$

$$= \max_{s\geq 0} \left\{ \frac{a}{2}s^2 - \mu \int_0^{+\infty} \widetilde{F}(s\phi)dt \right\} + \max_{s\geq 0} \left\{ \frac{\lambda}{4}\psi\left(\frac{s^2}{T^2}\right)s^4 \right\}$$

$$\leq \max_{s\geq 0} \left\{ \left(\frac{a}{2} - M\delta \int_0^R \phi^2(t)dt \right)s^2 + C(M)R \right\} + \lambda T^4$$

$$= C(M)R + \lambda T^4.$$

Hence (3.31), yields

(3.33)
$$\frac{a}{2} \int_0^{+\infty} u'(t)^2 \,\mathrm{d}t \le C(M)R + 6\lambda T^4.$$

By using (3.14), (2.5) and the fact that $\left(J_{\lambda,\mu}^{T}\right)'(u) = 0$, we obtain

$$\begin{aligned} & a \|u\|^{2} + \lambda h_{T}(u) \|u\|^{4} + \frac{\lambda}{2T^{2}} \psi'\left(\frac{\|u\|^{2}}{T^{2}}\right) \|u\|^{6} \\ &= \mu \int_{0}^{+\infty} \widetilde{f}(u_{n}(t))u_{n}(t) dt \leq \int_{0}^{+\infty} \left(\epsilon |u(t)| + C_{\epsilon} |u(t)|^{\theta}\right) |u(t)| dt \\ &= \epsilon \int_{0}^{+\infty} u(t)^{2} dt + C_{\epsilon} \int_{0}^{+\infty} |u(t)|^{\theta+1} dt \leq \epsilon \|u\|_{2}^{2} + C_{\epsilon} \int_{0}^{+\infty} \frac{1}{p(t)^{\theta+1}} p^{\theta+1}(t) |u(t)|^{\theta+1} dt \\ &\leq \frac{\epsilon}{b} \|u\|^{2} + C_{\epsilon} \left\|p^{-(\theta+1)}\right\|_{1} \|u\|_{\infty,p}^{\theta+1} \\ &\leq \frac{\epsilon}{b} \|u\|^{2} + C_{\epsilon} c_{\infty,p}^{\theta+1} \left\|p^{-(\theta+1)}\right\|_{1} \left(\int_{0}^{+\infty} u'(t)^{2} dt\right)^{(\theta+1)/2}. \end{aligned}$$

Hence by (3.33) we obtain

$$\left(a - \frac{\epsilon}{b}\right) \|u\|^{2} \leq C_{\epsilon} c_{\infty,p}^{\theta+1} \left\|p^{-(\theta+1)}\right\|_{1} \left(\int_{0}^{+\infty} u'(t)^{2} dt\right)^{(\theta+1)/2} - \frac{\lambda}{2T^{2}} \psi'\left(\frac{\|u\|^{2}}{T^{2}}\right) \|u\|^{6}$$

$$(3.34) \leq C_{\epsilon} c_{\infty,p}^{\theta+1} \left\|p^{-(\theta+1)}\right\|_{1} \left(\frac{2}{a}\right)^{(\theta+1)/2} \left(C(M)R + 6\lambda T^{4}\right)^{(\theta+1)/2} + 8\lambda T^{4}.$$

Now choose T > 0 large enough that

$$\left(a - \frac{\epsilon}{b}\right)T^{2} > C_{\epsilon}c_{\infty,p}^{\theta+1} \left\|p^{-(\theta+1)}\right\|_{1} \left(\frac{2}{a}\right)^{(\theta+1)/2} (C(M)R)^{(\theta+1)/2}.$$

Then there exists $\lambda_0 > 0$ small enough such that for any $\lambda \in [0, \lambda_0)$, the following inequality holds

(3.35)
$$\left(a - \frac{\epsilon}{b}\right)T^2 \ge C_{\epsilon}c_{\infty,p}^{\theta+1} \left\|p^{-(\theta+1)}\right\|_1 \left(\frac{2}{a}\right)^{(\theta+1)/2} \left(C(M)R + 6\lambda T^4\right)^{(\theta+1)/2} + 8\lambda T^4.$$

Clearly, from (3.34) and (3.35) we have $||u|| \le T$ as we wished.

Proof of Theorem 1.1. Let T and λ_0 be fixed according to Lemma 3.8. From Lemma 3.6, there is a sequence $\{\mu_n\} \subset I$ with $\mu_n \to 1^-$ such that for each $n \ge 1$, functional J_{λ,μ_n}^T has a critical point u_n at level c_{λ,μ_n} , i.e., $u_n \in H_0^1(\mathbb{R}^+) \setminus \{0\}$ and

$$J_{\lambda,\mu_n}^T(u_n) = c_{\lambda,\mu_n}, \quad (J_{\lambda,\mu_n}^T)'(u_n) = 0.$$

By Lemma 3.8 and inequality (3.32), one has $||u_n|| \leq T$ for every n and the sequence $\{c_{\lambda,\mu_n}\}$ is bounded. Then $h_T(u_n) = 1$ and

$$J_{\lambda,\mu_n}^T(u_n) = \frac{a}{2} \|u_n\|^2 + \frac{\lambda}{4} \|u_n\|^4 - \mu_n \int_0^{+\infty} \widetilde{F}(u_n(t)) dt.$$

We claim that $\{u_n\}$ is a Palais-Smale sequence of J_{λ} . Indeed, by using (3.14) and (3.15) one has

(3.36)
$$\int_{0}^{+\infty} \widetilde{f}(u_n(t))u_n(t) \mathrm{d}t \le \frac{\epsilon}{b} \|u_n\|^2 + C_{\epsilon} c_{\infty,p}^{\theta+1} \|p^{-(\theta+1)}\|_1 \|u_n\|^{\theta+1},$$

(3.37)
$$\int_{0}^{+\infty} \widetilde{F}(u_n(t)) dt \le \frac{a}{2} \|u_n\|^2 + \frac{\epsilon}{2b} \|u_n\|^2 + \frac{C_{\epsilon}}{\theta + 1} c_{\infty,p}^{\theta + 1} \|p^{-(\theta + 1)}\|_1 \|u_n\|^{\theta + 1}$$

Furthermore, we have

$$J_{\lambda}(u_{n}) = J_{\lambda,\mu_{n}}^{T}(u_{n}) + (\mu_{n}-1) \int_{0}^{+\infty} \widetilde{F}(u_{n}(t)) dt = c_{\lambda,\mu_{n}} + (\mu_{n}-1) \int_{0}^{+\infty} \widetilde{F}(u_{n}(t)) dt,$$

$$\langle J_{\lambda}'(u_{n}), v \rangle = \langle (J_{\lambda,\mu_{n}}^{T})'(u_{n}), v \rangle + (\mu_{n}-1) \int_{0}^{+\infty} \widetilde{f}(u_{n}(t)) v(t) dt = (\mu_{n}-1) \int_{0}^{+\infty} \widetilde{f}(u_{n}(t)) v(t) dt.$$

From (3.36) and (3.37), the boundedness of $\{u_n\}$ and c_{λ,μ_n} , imply that $\int_0^{+\infty} \tilde{f}(u_n(t))v(t) dt$ and $\int_0^{+\infty} \tilde{F}(u_n(t)) dt$ are bounded. Thus, when $\mu_n \to 1$, we have that $\{J_\lambda(u_n)\}$ is bounded and $J'_\lambda(u_n) \to 0$. Therefore $\{u_n\}$ is a bounded Palais-Smale sequence of J_λ . Since for $||u|| \leq T$ one has $J_\lambda(u) = J^T_{\lambda,1}(u)$ and $J'_\lambda(u) = (J^T_{\lambda,1})'(u)$, Lemma 3.5 applies and guarantees that $\{u_n\}$ has a convergent subsequence. Thus we may assume that $u_n \to u$ in $H^1_0(\mathbb{R}^+)$. Then $J'_\lambda(u) = 0$ and according to Lemma 3.4, we have that

$$J_{\lambda}(u) = \lim_{n \to +\infty} J_{\lambda}(u_n) = \lim_{n \to +\infty} J_{\lambda,\mu_n}^T(u_n) = \lim_{n \to +\infty} c_{\lambda,\mu_n} \ge c > 0.$$

Consequently, u is a nontrivial solution of (2.11) and |u| is a nontrivial nonnegative solution of (1.1). The proof is thus complete.

Now we present two examples of nonlinearity f which satisfies the hypotheses (*H1*)-(*H3*) but not the Ambrosetti-Rabinowitz condition (*AR*). Note that, since the term $\frac{\lambda}{4} ||u||^4$ in the expression of the functional J_{λ} associated to our problem (1.1) is homogeneous of degree 4, the corresponding (*AR*) condition on f is the following 4-superlinear growth condition (*AR*): there existe $\nu > 4$ and R > 0, such that

$$0 < \nu F(t) \le t f(t)$$
, for all $t > R$.

Example 3.1. Let $f : \mathbb{R}^+ \to \mathbb{R}^+$ be defined by $f(t) = 4t^3 \arctan(t) + \frac{t^4}{1+t^2}$. A simple computation shows that $F(t) = t^4 \arctan(t)$. It is easy to check that f satisfies all the conditions (*H*1)-(*H*3) of Theorem 1.1, but not the (*AR*)-condition, because

$$tf(t) - \nu F = (4 - \nu) t^4 \arctan(t) + \frac{t^5}{1 + t^2} - \nu t^4 \arctan(t) \\ = t^4 \left[(4 - \nu) \arctan(t) + \frac{t}{1 + t^2} \right] \to -\infty, \quad \text{as } t \to +\infty,$$

for any $\nu > 4$.

Example 3.2. An other example is given by the function $f(t) = 4t^3 \ln(1+t) + \frac{t^4}{1+t}$, for $t \in [0, +\infty)$, with $F(t) = t^4 \ln(t+1)$.

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